

SOME NONUNIQUENESS RESULTS FOR THE YAMABE PROBLEM

YAMABE PROBLEM: GIVEN A RIEM. MFLD  $(M^n, g_0)$ , FIND A COMPLETE METRIC  $g \in [g_0]$  WITH CONSTANT SCALAR CURVATURE.



FIND  $u: M \rightarrow \mathbb{R}$ ,  $u > 0$ , AND  $u \nearrow +\infty$  "FAST ENOUGH" SOLVING.  
 $4 \frac{n-1}{n-2} \Delta_{g_0} u - \text{scal}_{g_0} \cdot u = \text{scal}_g \cdot u \rightsquigarrow g = u^{\frac{4}{n-2}} \cdot g_0$

STATUS

M COMPACT: • EXISTENCE: YES [YAMABE, TRUDINGER, AUBIN, SCHOEN]

• UNIQUENESS: NO [YES, IF  $\text{scal}_{g_0} \leq 0$ , OR  $(M, g_0)$  IS EINSTEIN BUT NOT  $(S^n, g_{\text{round}})$ , AND ALSO GENERICALLY AMONG  $[g_0]$  WITH  $\text{scal}_{g_0} > 0$ ]

M NONCOMPACT: • EXISTENCE: NO [JIN], BUT YES IF  $M(\infty)$  IS "TAME"

• UNIQUENESS: NO ENOUGH [MAZZEO-PACARD]

RESULTS

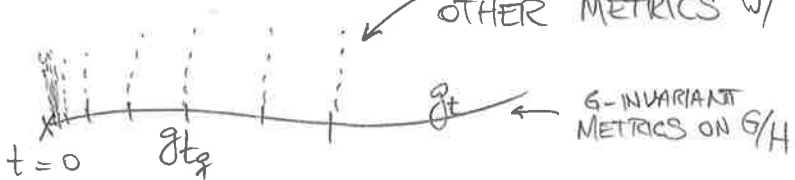
THM 1 (B.-PICCIONE, 2013), LET  $H < K < G$  BE COMPACT LIE GROUPS WITH EITHER  $H \triangleleft K$  OR  $K \triangleleft G$ , AND  $\text{scal}_{K/H} > 0$ . LET  $g_t$  BE THE HOMOGENEOUS METRICS ON  $G/H$  OBTAINED RESCALING BY  $t$  THE FIBERS OF

$$K/H \rightarrow G/H \rightarrow G/K$$

THEN  $\exists t_q \searrow 0$  SEQUENCE OF BIFURGATION INSTANTS FOR  $g_t$ .

I.E.,

EACH  $g_{t_q}$  IS THE LIMIT OF A SEQUENCE OF OTHER METRICS W/ CONSTANT SCALAR CURVATURE (K-INVARIANT)



EXAMPLE:  $S^3 \rightarrow S^{4n+3} \rightarrow \mathbb{H}P^n$  (BERGER METRICS) 1  
 (BUT ALSO  $S^7 \rightarrow S^{15} \rightarrow S^8(1/2)$ )

THM 2 (B. - PICCIONE-SANTORO, 2015; B. - PICCIONE, 2016). THERE EXIST INFINITELY MANY PAIRWISE NONISOMETRIC COMPLETE CONSTANT SCALAR CURVATURE METRICS ON  $S^n \setminus S^k$ ,  $0 \leq k < \frac{n-2}{2}$  THAT ARE CONFORMAL TO THE ROUND (INCOMPLETE) METRIC.

I.E., SOLUTIONS TO SO-CALLED "SINGULAR YAMABE PROBLEM!"

BIFURCATION INTERMEZZO

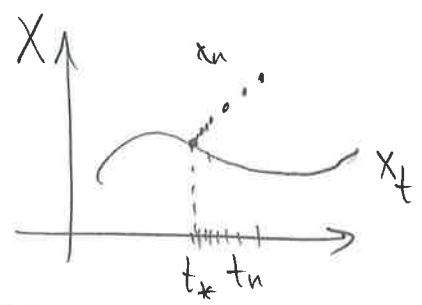
[POINCARÉ, 1885]: "TOPOLOGICAL CHANGE IN THE STRUCTURE OF A DYNAMICAL SYSTEM WHEN A PARAMETER CROSSES A 'BIFURCATION' VALUE"

$f_t: X \rightarrow \mathbb{R}$  1-PARAMETER FAMILY OF FUNCTIONALS  
 $x_t \in X$  "TRIVIAL" BRANCH OF SOLUTIONS:  $df_t(x_t) = 0$

DEF: BIFURCATION OCCURS AT  $t_*$  IF  $\exists t_n \rightarrow t_*$ ,  $\exists x_n \rightarrow x_{t_*}$ , S.T.

$df_{t_n}(x_n) = 0$  AND  $x_n \neq x_{t_n}$

I.E., THE IMPLICIT FUNCTION THEOREM "FAILS" AT  $x_{t_*}$ .



• DEGENERACY OF  $x_{t_*}$  ( $\text{Ker } d_{f_{t_*}}^2(x_{t_*}) \neq \{0\}$ ) IS NECESSARY, BUT NOT SUFFICIENT.

THM (KRASNOSEL'SKII). ASSUME  $x_t$  IS SUCH THAT  $df_t(x_t) = 0$  AND

(1)  $\exists a < b$  S.T.  $x_a$  AND  $x_b$  ARE NONDEGENERATE CRITICAL POINTS WITH  $i_{\text{Morse}}(x_a) \neq i_{\text{Morse}}(x_b)$ ;

(2)  $d_{f_t}^2$  IS A FREDHOLM OPERATOR OF INDEX ZERO,

THEN  $\exists t_* \in (a, b)$  A BIFURCATION INSTANT FOR  $x_t$ .

SILLY EXAMPLE:

$f_t: \mathbb{R}^2 \rightarrow \mathbb{R}$ ,  $f_t(x, y) = x^2 + y^4 - ty^2$

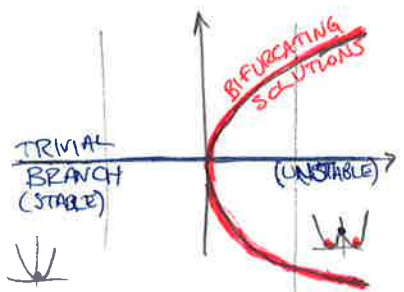
$df_t(x, y) = (2x, 4y^3 - 2ty)$

TRIVIAL BRANCH:  $(x_t, y_t) = (0, 0)$

"BIFURCATING BRANCH"  
 $(x_t, y_t) = (0, \pm\sqrt{\frac{t}{2}})$

$i_{\text{Morse}}(0, 0) = \begin{cases} 0, & t < 0 \\ 1, & t > 0 \end{cases}$

$d_{f_t}^2(0, 0) = \begin{pmatrix} 2 & 0 \\ 0 & -2t \end{pmatrix}$

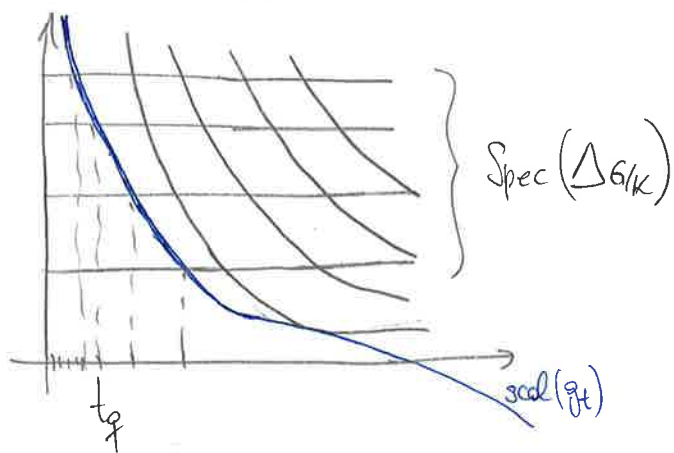


# YAMABE PROBLEM VARIATIONAL SETUP

$(M, g_0)$  COMPACT

- $A: [g_0]_1 \rightarrow \mathbb{R}, A(g) = \int_M \text{scal}_g \text{vol}_g$
- $dA(g) = 0 \iff g \in [g_0]$  HAS CONSTANT SCALAR CURVATURE
- $d^2A(g)(\psi, \psi) = \int_M \left( \Delta_g \psi - \frac{\text{scal}}{n-1} \psi \right) \psi$
- $i_{\text{Morse}}(g) = \# \text{Spec}(\Delta_g) \cap \left( -\infty, \frac{\text{scal}_g}{n-1} \right)$

## SKETCH OF PROOF OF THM 1



$K/H \rightarrow (G/H, g_t) \rightarrow G/K$  HAS TOTALLY GEODESIC FIBERS, SO

$$\text{Spec}(\Delta_{G/K}) \subset \text{Spec}(\Delta_{g_t})$$

INDEPENDENT OF  $t$

AND  $\text{scal}(g_t) \nearrow +\infty$  AS  $t \searrow 0$ .

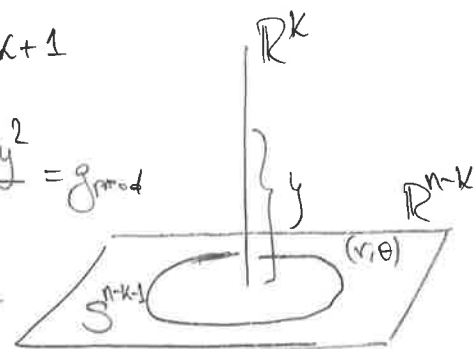
THUS,  $i_{\text{Morse}}(g_t) \nearrow +\infty$  AS  $t \searrow 0$ , AND GET BIFURCATION INSTANTS. □

"COMPENSATION ISSUE": USE EQUIVARIANT BIFURCATION AND NORMALITY ASSUMPTIONS

## SKETCH OF PROOF OF THM 2

$$S^n \setminus S^k \longrightarrow \mathbb{R}^n \setminus \mathbb{R}^k \longrightarrow S^{n-k-1} \times \mathbb{H}^{k+1}$$

$$\text{ground} \xrightarrow{\text{STEREOSCOPIC PROJECTION}} \underbrace{dr^2 + r^2 d\theta^2 + dy^2}_{g_{\text{flat}}} \xrightarrow{\cdot \frac{1}{r^2}} d\theta^2 + \frac{dr^2 + dy^2}{r^2} = g_{\text{rod}}$$



PULLING BACK BY ABOVE CONFORMAL EQUIV., GET "TRIVIAL SOLUTION" (ONLY ONE PREVIOUSLY KNOWN), WITH:

$$\text{scal} = (n-k-1)(n-k-2) - (k+1)k = (n-2k-2)(n-1) > 0 \iff 0 \leq k < \frac{n-2}{2} \cdot 2$$

[BPS]  $k=1$

$$S^n \setminus S^1 \cong S^{n-2} \times \mathbb{H}^2$$

BIFURCATE DEFORMING  
HYPERBOLIC STRUCTURE  
ON COMPACT QUOTIENT!

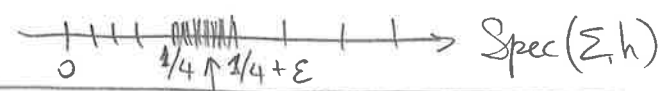
$$\Sigma_t^2 = \mathbb{H}^2 / \Gamma_t \text{ HYP. SURFACES}$$

$$S^{n-2} \times \Sigma_t^2$$

$$i_{\text{Morse}}(g_{\text{ground}} \oplus h_t) = \# \left\{ \lambda_i(S^{n-2}, g_{\text{ground}}) + \lambda_j(\Sigma_t^2, h_t) < n-4 \right\}$$

ONLY PART THAT CHANGES W/ t.      Scal  
n-1

THM (BUSER '77).  $\forall \epsilon > 0, j \in \mathbb{N}, \exists h \in \mathcal{H}(\Sigma)$  WITH  
 $\lambda_j(\Sigma, h) < \frac{1}{4} + \epsilon$ .



SO CAN MAKE  $i_{\text{Morse}} \uparrow \infty$ , GET INFINITELY MANY SOLUTIONS ←

! NONDEGENERACY ISSUE; NEED A DEEP RESULT BY WOLPERT (SUGGESTED BY S. MONDAL)

DIFFERENT CONFORMAL CLASSES IN THE QUOTIENT, BUT PULL BACK TO SAME CONFORMAL CLASS ON  $S^1 \setminus S^1$ .

FOR  $k \geq 2$ , CANNOT DO THIS BY MOSTOW RIGIDITY!

[BP]  $k < \frac{n-2}{2}$

$$S^n \setminus S^k \cong S^{n-k-1} \times \mathbb{H}^{k+1}$$

$$S^{n-k-1} \times \Sigma^{k+1} \text{ (RIGID)}$$

USE CHAIN OF FINITE COVERINGS AND AUBIN'S INEQUALITY!

FACT:  $\pi_1(\Sigma)$  IS INFINITE AND RESIDUALLY FINITE, SO THERE IS

$$\Sigma = \Sigma_1 \leftarrow \Sigma_2 \leftarrow \dots \leftarrow \Sigma_j \leftarrow \dots \leftarrow \mathbb{H}^{k+1}$$

INFINITE CHAIN OF FINITE-SHEETED REGULAR COVERINGS, AND

$$\text{Vol}(\Sigma_j) = \underbrace{s(j)}_{\# \text{ SHEETS}}, \text{Vol}(\Sigma).$$

WHEN SCALAR CURVATURE IS CONSTANT, VALUE OF THE FUNCTIONAL IS:

$$A(M, g) = \text{Vol}(M, g)^{\frac{2}{n}} \cdot \text{scal}_g$$

IN PARTICULAR,

$$A(S^{n-k-1} \times \Sigma_j^{k+1}, g_{\text{ground}}) = \underbrace{s(j)^{\frac{2}{n}}}_{\leftarrow \text{GETS ARBITRARILY LARGE AS } j \rightarrow \infty} \text{Vol}(S^{n-k-1})^{\frac{2}{n}} \text{Vol}(\Sigma^{k+1})^{\frac{2}{n}} (n-2k-2)(n-1)$$

RECALL:  $Y(M, [g_0]) = \inf_{g \in [g_0]_1} \lambda(g)$  "YAMABE INVARIANT"

THM, THE ABOVE  $\inf$  IS ATTAINED AT  $g_Y \in [g_0]$ , CALLED A YAMABE METRIC, WHICH HAS  $\text{scal}(g_Y) = \text{const}$ , AND MOREOVER

$$Y(M, [g_0]) \leq Y(S^n, [g_{\text{round}}])$$

↑ WITH EQUALITY IFF  
 $(M, g_0) \stackrel{\text{conf}}{\cong} (S^n, g_{\text{round}})$

SO, GOING UP THE CHAIN OF FINITE COVERINGS OF  $S^{n-k-1} \times \Sigma^{k+1}$ , GET NEW INFINITELY MANY NEW SOLUTIONS (YAMABE METRICS AT EACH LEVEL WHERE  $\lambda > Y(S^n, [g_{\text{round}}])$ ).  $\square$

REMARKS: (1) NEW SOLUTIONS ARE NOT ISOMETRIC TO  $g_{\text{prod}}$  B/C:

$$\text{Conf}(S^m \times H^d, g_{\text{prod}}) = \text{Iso}(S^m \times H^d, g_{\text{prod}})$$

$\rightsquigarrow$  PAIRWISE NONISOMETRIC ???

(2) BY CAFFARELLI-GIDAS-SPRUCK ASYMPTOTIC SYMMETRY METHOD, NEW SOLUTIONS DO NOT DEPEND ON THE  $S^{n-k-1}$  VARIABLE...

$\rightsquigarrow$  SUBCRITICAL PROBLEM!

(3) CAN PROVE MORE GENERAL MULTIPLICITY RESULT ON  $(M \times N, g \oplus h)$ , WHERE  $(M, g)$  IS COMPACT AND HAS  $\text{scal} = \text{const} > 0$ , AND  $(N, h)$  IS A SIMPLY-CONNECTED SYMMETRIC SPACE OF NONCOMPACT OR EUCLIDEAN TYPE,

(OR, EVEN MORE GENERALLY, ON  $M \times \Sigma$ , WHERE  $(\Sigma, h)$  IS COMPACT, HAS CONSTANT SCALAR CURVATURE, AND  $\pi_1(\Sigma)$  HAS INFINITE PROFINITE COMPLETION)

