

Department of the Treasury  
Internal Revenue Service

# Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)  
Do not enter Social Security numbers on this form as it may be made public.  
Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

# 2013

**A For the 2013 calendar year, or tax year beginning** Jul 1 **, 2013, and ending** Jun 30 **, 2014**

**B** Check if applicable:  Address change  Name change  Initial return  Terminated  Amended return  Application pending

**C** Name of organization: HERBERT H. LEHMAN COLLEGE FOUNDATION INC  
Doing Business As: \_\_\_\_\_  
Number and street (or P.O. box if mail is not delivered to street address): 250 BEDFORD PARK BLVD WEST SHUSTER HALL 318  
City or town, state or province, country, and ZIP or foreign postal code: BRONX NY 10468

**D** Employer Identification Number: 13-3150922  
**E** Telephone number: (718) 960-8975

**F** Name and address of principal officer: FREDRICK GILBERT 250 BEDFORD PARK BLVD WEST BRONX NY 10468  
**G** Gross receipts: \$ 2,156,167.

**H(a)** Is this a group return for subsidiaries?  Yes  No  
**H(b)** Are all subsidiaries included?  Yes  No  
If "No," attach a list (see instructions)

**I** Tax-exempt status:  501(c)(3)  501(c) ( ) (insert no.)  4947(a)(1) or  527

**J** Website: [www.lehman.edu/lehman-college-foundation/](http://www.lehman.edu/lehman-college-foundation/) /index.php

**K** Form of organization:  Corporation  Trust  Association  Other  **L** Year of formation: 1990 **M** State of legal domicile: NY

**Part I Summary**

1 Briefly describe the organization's mission or most significant activities: To provide scholarships and support the scholarly activities of Lehman College of City University of New York.

2 Check this box  if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) 3 15

4 Number of independent voting members of the governing body (Part VI, line 1b) 4 15

5 Total number of individuals employed in calendar year 2013 (Part V, line 2a) 5 0

6 Total number of volunteers (estimate if necessary) 6 0

7a Total unrelated business revenue from Part VIII, column (C), line 12 7a 0.

7b Net unrelated business taxable income from Form 990-T, line 34 7b 0.

Revenue		Prior Year	Current Year
8	Contributions and grants (Part VIII, line 1h)	741,302.	1,179,768.
9	Program service revenue (Part VIII, line 2g)	140,196.	976,399.
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	477,061.	2,156,167.
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,358,559.	
12	Total revenue — add lines 8 through 11 (must equal Part VIII, column (A), line 12)		
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		
14	Benefits paid to or for members (Part IX, column (A), line 4)		
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		
16a	Professional fundraising fees (Part IX, column (A), line 11e)		
b	Total fundraising expenses (Part IX, column (D), line 25) ▶	59,317.	
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,195,685.	1,320,317.
18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,286,698.	1,411,679.
19	Revenue less expenses. Subtract line 18 from line 12	71,861.	744,488.
20	Total assets (Part X, line 16)	6,885,725.	7,510,743.
21	Total liabilities (Part X, line 26)	132,120.	12,650.
22	Net assets or fund balances. Subtract line 21 from line 20	6,753,605.	7,498,093.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer: *Fredrick Gilbert* Date: 01/20/15

Signature of director: FREDRICK GILBERT Date: 01/20/15

Executive Director

**Part III Preparer Use Only**

Print/Type preparer's name: MICHAEL ARCHER Date: 01/20/15

Firm's name: Deans Archer & Co. CPA's Check  if self-employed PTIN: P000532895

Firm's address: 265 E MERRICK RD STE 205 Valley Stream NY 11580-6004 Firm's EIN: 11-3156566

**Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III

1 **Briefly describe the organization's mission:**

To provide scholarships and support the scholarly activities of Lehman College of City University of New York.

2 **Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?**

If "Yes," describe these new services on Schedule O.  Yes  No

3 **Did the organization cease conducting, or make significant changes in how it conducts, any program services?**  Yes  No  
If "Yes," describe these changes on Schedule O.

4 **Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**

4a (Code: ) (Expenses \$ 1,213,994. Including grants of \$ 0.) (Revenue \$ 0.)

To provide scholarships for students, support scholarly activities and augment college facilities and equipment.

4b (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services. (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses \$ 1,213,994.

**Part IV Checklist of Required Schedules**

	Yes	No
1. Is the organization described in section 501(c)(3) or 4947(b)(1) (other than a private foundation)? If 'Yes,' complete Schedule A.	X	
2. Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	X	
3. Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I.		X
4. Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II.		X
5. Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III.		X
6. Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I.		X
7. Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II.		X
8. Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III.		X
9. Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV.		X
10. Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V.		X
11. If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a. Did the organization report an amount for land, buildings and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI.	X	
b. Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII.	X	
c. Did the organization report an amount for investments — program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII.	X	
d. Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX.	X	
e. Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X.	X	
f. Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X.	X	
12a. Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI, and XII.	X	
b. Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional.	X	
13. Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E.	X	
14a. Did the organization maintain an office, employees, or agents outside of the United States?	X	
b. Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV.	X	
14b.	X	
15. Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV.	X	
16. Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV.	X	
17. Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions).	X	
18. Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II.	X	
19. Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III.	X	
20a. Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H.	X	
b. If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?	X	
	20b	

**Part IV Checklist of Required Schedules (Continued)**

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organizations or government on Part IX, column (A), line 17? If Yes, complete Schedule I, Parts I and II . . . . .		X
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 27? If Yes, complete Schedule I, Parts I and III . . . . .	X	
23 Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If Yes, complete Schedule J . . . . .	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If Yes, answer lines 24b through 24d and complete Schedule K. If No, go to line 25a . . . . .	X	
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		
24d Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year? . . . . .		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If Yes, complete Schedule L, Part I . . . . .	X	
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If Yes, complete Schedule L, Part I . . . . .	X	
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II . . . . .	X	
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If Yes, complete Schedule L, Part III . . . . .	X	
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): a A current or former officer, director, trustee, or key employee? If Yes, complete Schedule L, Part IV . . . . . b A family member of a current or former officer, director, trustee, or key employee? If Yes, complete Schedule L, Part IV . . . . .		
28a	X	
28b	X	
28c	X	
29 Did the organization receive more than \$25,000 in non-cash contributions? If Yes, complete Schedule M . . . . .	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If Yes, complete Schedule M . . . . .	X	
31 Did the organization liquidate, terminate, or dissolve and cease operations? If Yes, complete Schedule N, Part I . . . . .	X	
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If Yes, complete Schedule N, Part II . . . . .	X	
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If Yes, complete Schedule R, Part I . . . . .	X	
34 Was the organization related to any tax-exempt or taxable entity? If Yes, complete Schedule R, Parts II, III, IV, and V, line 7 . . . . .	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .	X	
35b If Yes to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If Yes, complete Schedule R, Part V, line 2 . . . . .	X	
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If Yes, complete Schedule R, Part V, line 2 . . . . .	X	
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If Yes, complete Schedule R, Part VI . . . . .	X	
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V.

1 a Enter the number reported in Box 3 of Form 1099. Enter -0- if not applicable. 22 Yes No

b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. 0 1 b

c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings or prize winners? 0 2 a

2 a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. 0 2 a

b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 b

3 a Did the organization have unrelated business gross income of \$1,000 or more during the year? 3 a

b If 'Yes,' has it filed a Form 990-T for this year? If 'No,' to line 3b, provide an explanation in Schedule O. 3 b

4 a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? 4 a

b If 'Yes,' enter the name of the foreign country. See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. 5 a

5 a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5 a

b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5 b

c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T? 5 c

6 a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? 6 a

b If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 6 b

7 Organizations that may receive deductible contributions under section 170(c). 7 a

a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7 a

b If 'Yes,' did the organization notify the donor of the value of the goods or services provided? 7 b

c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? 7 c

d If 'Yes,' indicate the number of Forms 8282 filed during the year. 7 d

e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7 e

f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7 f

g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7 g

h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? 7 h

8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? 8

9 Sponsoring organizations maintaining donor advised funds. a Did the organization make any taxable distributions under section 4966? 9 a

b Did the organization make a distribution to a donor, donor advisor, or related person? 9 b

10 Section 501(c)(7) organizations. Enter: 10 a

a Initiation fees and capital contributions included on Part VIII, line 12. 10 b

b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. 11 a

11 Section 501(c)(12) organizations. Enter: 11 a

a Gross income from members or shareholders. 11 a

b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11 b

12 a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12 a

b If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year. 12 b

13 Section 501(c)(29) qualified nonprofit health insurance issuers. 13 a

a Is the organization licensed to issue qualified health plans in more than one state? 13 a

Note: See the instructions for additional information the organization must report on Schedule O. 13 b

b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. 13 b

c Enter the amount of reserves on hand. 13 c

14 a Did the organization receive any payments for indoor tanning services during the tax year? 14 a

b If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O. 14 b

**Part VI Governance, Management and Disclosure** For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI.  **X**

**Section A. Governing Body and Management**

	1a	1b	15	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1 a Enter the number of voting members of the governing body at the end of the tax year. . . . .	15														
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.															
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee or key employee? . . . . .			15												
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .															
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .															
5 Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .															
6 Did the organization have members or stockholders? . . . . .															
7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .															
7 b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or other persons other than the governing body? . . . . .															
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: . . . . .															
a The governing body? . . . . .											8a	X			
b Each committee with authority to act on behalf of the governing body? . . . . .											8b	X			
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O . . . . .													9		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	12a	12b	12c	13	14	15	15a	15b	16a	16b	Yes	No
10 a Did the organization have local chapters, branches, or affiliates? . . . . .															
b If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .		10b													
11 a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . .			11a												X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990. . . . .															
12 a Did the organization have a written conflict of interest policy? If 'No,' go to line 13. . . . .				12a	X										
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .					12b	X									
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was done. . . . .						12c									X
13 Did the organization have a written whistleblower policy? . . . . .							13	X							
14 Did the organization have a written document retention and destruction policy? . . . . .								14	X						
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? . . . . .															
a The organization's CEO, Executive Director, or top management official . . . . .										15a					X
b Other officers of key employees of the organization. . . . .										15b					X
If 'Yes' to line 15a or 15b, describe the process in Schedule O. (See instructions.) . . . . .															
16 a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .															
b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .															X

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed  New York  Other (explain in Schedule O)

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.  Own website  Another's website  Upon request  Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization makes its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization:

BAA Mr. FRED GILBERT 250 BEDFORD PARK BLVD BRONX NY 10468 (718) 960-8975  
TEEA0106 07/02/13 Form 990 (2013)

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII  **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1 a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's current key employees, if any. See instructions for definition of 'key employee.'
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee	Institutional trustee	Officer	Key employee	Highest compensated employee			
(1) Mr. Aravind Mallipudi Secretary	1.00	X		X			0.	0.	0.
(2) Dr. Aramina Vega Ferrer Vice Chair	1.00	X		X			0.	0.	0.
(3) Mr. David H. Levey Director	1.00	X					0.	0.	0.
(4) Dr. Ricardo R. Fernandez President	1.00	X					0.	0.	0.
(5) Dr. Herminio A. Martinez Director	1.00	X					0.	0.	0.
(6) Mr. Mario Dellapina Director	1.00	X					0.	0.	0.
(7) Mr. Vincent W. Clark Assistant Treasurer	1.00	X		X			0.	0.	0.
(8) Ms. Myrna M. Rivera Chair	1.00	X		X			0.	0.	0.
(9) Soroosh Roshan, MD Director	1.00	X					0.	0.	0.
(10) Mrs. Marie Beverly Bartner Director	1.00	X					0.	0.	0.
(11) Mr. Ira Cohen Director	1.00	X					0.	0.	0.
(12) Mr. Fredrick Gilbert Executive Director	35.00			X			0.	0.	0.
(13) Mr. Steven A. Tsavaris Director	1.00	X					0.	0.	0.
(14) Mr. Orin McCluskey Director	1.00	X					0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (list any hours for related organiza-tions below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (M-21099-MISC)	(E) Reportable compensation from related organizations (M-21099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(15) Ms. Sabrina Antoinette Hosang Director	1.00	X					0.	0.	0.
(16) Mr. William Lash Director	1.00	X					0.	0.	0.
(17) -----	-----								
(18) -----	-----								
(19) -----	-----								
(20) -----	-----								
(21) -----	-----								
(22) -----	-----								
(23) -----	-----								
(24) -----	-----								
(25) -----	-----								
1 b Sub-total							0.	0.	0.
c Total from continuation sheets to Part VII, Section A							0.	0.	0.
d Total (add lines 1b and 1c)							0.	0.	0.

- 2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization
- 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If 'Yes,' complete Schedule J for such individual*
- 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? *If 'Yes,' complete Schedule J for such individual*
- 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If 'Yes,' complete Schedule J for such person*

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation	Yes	No
				X
			X	
			X	
				X
				X

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization



Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>CONTRIBUTIONS, GIFTS, GRANTS AND OTHER SIMILAR AMOUNTS</b>					
1 a	Federated campaigns . . . . .	1 a			
b	Membership dues . . . . .	1 b			
c	Fundraising events . . . . .	1 c	109,002.		
d	Related organizations . . . . .	1 d			
e	Government grants (contributions) . . . . .	1 e			
f	All other contributions, gifts, grants, and similar amounts not included above . . . . .	1 f	1,070,766.		
g	Noncash contributions included in lines 1a-1f: \$		18,720.		
h	<b>Total.</b> Add lines 1a-1f . . . . .		<b>1,179,768.</b>		
<b>PROGRAM SERVICE REVENUE</b>					
2 a					
b					
c					
d					
e					
f	All other program service revenue . . . . .				
g	<b>Total.</b> Add lines 2a-2f . . . . .				
3	Investment income (including dividends, interest and other similar amounts) . . . . .		976,399.		0.
4	Income from investment of tax-exempt bond proceeds . . . . .				
5	Royalties . . . . .				
6 a	Gross rents . . . . .	(i) Real			
b	Less: rental expenses				
c	Rental income or (loss) . . . . .				
d	Net rental income or (loss) . . . . .	(i) Securities			
		(ii) Other			
7 a	Gross amount from sales of assets other than inventory . . . . .				
b	Less: cost or other basis and sales expenses . . . . .				
c	Gain or (loss) . . . . .				
d	Net gain or (loss) . . . . .				
8 a	Gross income from fundraising events (not including: \$ <u>109,002.</u> of contributions reported on line 1c). See Part IV, line 18. . . . .				
b	Less: direct expenses . . . . .	a			
c	Net income or (loss) from fundraising events . . . . .	b			
9 a	Gross income from gaming activities. See Part IV, line 19. . . . .	a			
b	Less: direct expenses . . . . .	b			
c	Net income or (loss) from gaming activities . . . . .				
10 a	Gross sales of inventory, less returns and allowances . . . . .	a			
b	Less: cost of goods sold . . . . .	b			
c	Net income or (loss) from sales of inventory . . . . .				
<b>Miscellaneous Revenue</b>					
11 a		<b>Business Code</b>			
b					
c					
d	All other revenue . . . . .				
e	<b>Total.</b> Add lines 11a-11d. . . . .				
12	<b>Total revenue.</b> See instructions . . . . .		2,156,167.	976,399.	0.

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).  
 Check if Schedule O contains a response or note to any line in this Part IX.

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 . . . . .				
2 Grants and other assistance to individuals in the United States. See Part IV, line 22 . . . . .				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 . . . . .				
4 Benefits paid to or for members . . . . .				
5 Compensation of current officers, directors, trustees, and key employees . . . . .				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .				
7 Other salaries and wages . . . . .	91,362.	45,681.	45,681.	0.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . .				
9 Other employee benefits . . . . .				
10 Payroll taxes . . . . .				
11 Fees for services (non-employees):				
a Management . . . . .				
b Legal . . . . .				
c Accounting . . . . .				
d Lobbying . . . . .				
e Professional fundraising services. See Part IV, line 17 . . . . .				
f Investment management fees . . . . .				
9 Other: (If line 11g amt exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) . . . . .	62,150.	9,240.	29,390.	23,520.
12 Advertising and promotion . . . . .				
13 Office expenses . . . . .				
14 Information technology . . . . .				
15 Royalties . . . . .				
16 Occupancy . . . . .	18,720.	9,360.	9,360.	0.
17 Travel . . . . .				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . .				
19 Conferences, conventions, and meetings . . . . .				
20 Interest . . . . .	2,748.	1,374.	1,374.	0.
21 Payments to affiliates . . . . .				
22 Depreciation, depletion, and amortization . . . . .	503.	0.	503.	0.
23 Insurance . . . . .	2,617.	1,309.	1,308.	0.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) . . . . .				
a				
b				
c				
d				
e All other expenses . . . . .	1,233,579.	1,147,030.	50,752.	35,797.
25 Total functional expenses. Add lines 1 through 24e . . . . .	1,411,679.	1,213,994.	138,368.	59,317.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) . . . . .				

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X.

		(A) Beginning of year	(B) End of year
1	Cash – non-interest-bearing . . . . .	0.	0.
2	Savings and temporary cash investments . . . . .	931,699.	863,339.
3	Pledges and grants receivable, net . . . . .		
4	Accounts receivable, net . . . . .		
5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .		
6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . .		
7	Notes and loans receivable, net . . . . .		
8	Inventories for sale or use . . . . .		
9	Prepaid expenses and deferred charges . . . . .	32,290.	26,790.
10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	56,449.	
10b	Less: accumulated depreciation . . . . .	54,939.	
11	Investments – publicly traded securities . . . . .	2,013.	1,510.
12	Investments – other securities. See Part IV, line 11 . . . . .	5,906,693.	6,610,710.
13	Investments – program-related. See Part IV, line 11 . . . . .		
14	Intangible assets . . . . .		
15	Other assets. See Part IV, line 11 . . . . .	13,030.	8,394.
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	6,885,725.	7,510,743.
17	Accounts payable and accrued expenses . . . . .	128,046.	8,720.
18	Grants payable . . . . .		
19	Deferred revenue . . . . .		
20	Tax-exempt bond liabilities . . . . .		
21	Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .		
22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .		
23	Secured mortgages and notes payable to unrelated third parties . . . . .		
24	Unsecured notes and loans payable to unrelated third parties . . . . .		
25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .	4,074.	3,930.
26	<b>Total liabilities.</b> Add lines 17 through 25 . . . . .	132,120.	12,650.
<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
27	Unrestricted net assets . . . . .	-158,906.	1,203,437.
28	Temporarily restricted net assets . . . . .	3,063,028.	2,422,608.
29	Permanently restricted net assets . . . . .	3,849,483.	3,872,048.
<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
30	Capital stock or trust principal, or current funds . . . . .		
31	Paid-in or capital surplus, or land, building, or equipment fund . . . . .		
32	Retained earnings, endowment, accumulated income, or other funds . . . . .		
33	Total net assets or fund balances . . . . .	6,753,605.	7,498,093.
34	Total liabilities and net assets/fund balances . . . . .	6,885,725.	7,510,743.

Form 990 (2013)

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

1	Total revenue (must equal Part VIII, column (A), line 12)	1	2,156,167.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,411,679.
3	Revenue less expenses. Subtract line 2 from line 1	3	744,488.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	6,753,605.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	7,498,093.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII.

1 Accounting method used to prepare the Form 990:  Cash  Accrual  Other

If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?  Yes  No

If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:

Separate basis  Consolidated basis  Both consolidated and separate basis

b Were the organization's financial statements audited by an independent accountant?  Yes  No

If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:

Separate basis  Consolidated basis  Both consolidated and separate basis

c If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?  Yes  No

If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?  Yes  No

b If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

**SCHEDULE A**  
(Form 990 or 990-EZ)

**Public Charity Status and Public Support**

OMB No. 1545-0047

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

**2013**

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

Name of the organization

HERBERT H. LEHMAN COLLEGE FOUNDATION INC

Employer identification number

13-3150922

**Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.**

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_

- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vii). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions — subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.

- a  Type I
- b  Type II
- c  Type III — Functionally integrated
- d  Type III — Non-functionally integrated
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in column (i) listed in your governing document?	(v) Did you notify the organization in support?	(vi) Is the organization in column (i) of the original U.S.?	(vii) Amount of monetary support		
				Yes	No	Yes	No		
(A)							11 g (i)	Yes	No
(B)							11 g (ii)		
(C)							11 g (iii)		
(D)									
(E)									
<b>Total</b>									

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule A (Form 990 or 990-EZ) 2013

**Part B Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	Section A. Public Support					(f) Total
	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	722,006.	874,444.	974,068.	741,302.	1,070,766.	4,382,586.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
3 The value of services or facilities furnished by a governmental unit to the organization without charge. . . . .						
4 Total. Add lines 1 through 3 . . . . .	722,006.	874,444.	974,068.	741,302.	1,070,766.	4,382,586.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) . . . . .						
6 Public support. Subtract line 5 from line 4 . . . . .						4,382,586.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4 . . . . .	722,006.	874,444.	974,068.	741,302.	1,070,766.	4,382,586.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . .	204,305.	167,370.	90,454.	617,257.	976,399.	2,055,785.
9 Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .	584.	0.	18.	-455.		147.
11 Total support. Add lines 7 through 10 . . . . .						6,438,518.
12 Gross receipts from related activities, etc (see instructions) . . . . .						12

13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here . . . . .

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) . . . . .	14	68.07 %
15 Public support percentage from 2012 Schedule A, Part II, line 14 . . . . .	15	74.82 %

16 a 33-1/3% support test — 2013. If the organization did not check the box on line 13, and the line 14 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .

b 33-1/3% support test — 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .

17 a 10%-facts-and-circumstances test — 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization . . . . .

b 10%-facts-and-circumstances test — 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization . . . . .

18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal yr beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
<b>1</b> Gifts, grants, contributions and membership fees received. (Do not include any "unusual grants.") . . . . .						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . .						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						
<b>6 Total.</b> Add lines 1 through 5 . . . . .						
<b>7 a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .						
<b>c</b> Add lines 7a and 7b . . . . .						
<b>8 Public support.</b> (Subtract line 7c from line 6.) . . . . .						

**Section B. Total Support**

Calendar year (or fiscal yr beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
<b>9</b> Amounts from line 6 . . . . .						
<b>10 a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources . . . . .						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .						
<b>c</b> Add lines 10a and 10b . . . . .						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . . . . .						
<b>13 Total Support.</b> (Add lines 9, 10c, 11 and 12)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here . . . . .

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) . . . . .	<b>15</b>	%
<b>16</b> Public support percentage from 2012 Schedule A, Part III, line 15 . . . . .	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f)) . . . . .	<b>17</b>	%
<b>18</b> Investment income percentage from 2012 Schedule A, Part III, line 17 . . . . .	<b>18</b>	%

**19 a 33-1/3% support tests — 2013.** If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .

**b 33-1/3% support tests — 2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization . . . . .

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions . . . . .

**Part V** Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Part II Line 10: Description: Other Income

Part II Line 10: 2009: 584.

Part II Line 10: 2010: 0.

Part II Line 10: 2011: 18.

Part II Line 10: 2012: -455.

Area with horizontal dashed lines for supplemental information.



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

**Schedule of Contributors**

**2013**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF  
Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

HERBERT H. LEHMAN COLLEGE FOUNDATION INC

Employer identification number

13-3150922

Organization type (check one):

Form 990 or 990-EZ

Section:

501(c) 3 (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (9), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc. purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc. purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc. contributions of \$5,000 or more during the year . . . . . \$

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, Schedule B (Form 990, 990-EZ, or 990-PF) (2013) or 990-PF.**

**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	The Carroll and Milton Petrie Foundation 767 Third Avenue 37th Floor New York NY 10017	\$ 200,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	ICU Foundation 244 5th Avenue Ste 200 New York NY 10001	\$ 112,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	St Georges's Society of New York 216 East 45th Street Ste 901 New York NY 10017	\$ 99,432	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	Verizon Foundation 1095 Avenue of the Americas Room 3200 New York NY 10036	\$ 80,100	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	The Heckscher Foundation for Children 123 East 70th Street New York NY 10021	\$ 75,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	Edwin Gould Foundation 55 Exchange Place New York NY 10005	\$ 50,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
	<b>Name, address, and ZIP + 4</b>	<b>Total contributions</b>	<b>Type of contribution</b>

Name of organization  
HERBERT H. LEHMAN COLLEGE FOUNDATION INC

Employer identification number  
13-3150922

**Contributors** (see instructions): Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	New York Community Trust Foundation 909 3rd Avenue Floor 22 New York NY 10022	\$ 41,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
8	Caribbean Food Delights 117 Route 303 Ste B Tappan NY 10983	\$ 35,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
9	The Achelis and Bodman Foundation 767 3rd Avenue 4th Floor New York NY 10017	\$ 25,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
10	Bronx-Lebanon Hospital Center 1276 Fulton Avenue Bronx NY 10457	\$ 20,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
11	Thea S. Maitinsky 3 Peter Cooper Road Apt MH New York NY 10010	\$ 20,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
12	Liberty Mutual Corp P.O. Box 1525 Dover NH 03821	\$ 19,328	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	HILLEL The Foundation for Jewish Campus Life 800 8th Street NW Washington DC 20001	\$ 12,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
14	AARP 601 East Street NW Washington DC 20049	\$ 11,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
15	Ira and Juliet Cohen Charitable Foundation 287 Anderson Ave P.O. Box 358 Alpine NJ 07620	\$ 10,800.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
16	The CUNY Research Foundation 230 West 41st Street New York NY 10036	\$ 10,625.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
17	Intercultural Development Research Association 5815 Callaghan Road Ste 101 San Antonio TX 78228	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
18	Christopher C. Stavrou 36 Cedar Cliff Road Riverside CT 06878	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization  
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**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19.	Teamsters Local Union 202 NYC Terminal Market Room 12A Bronx NY 10474	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
20.	Vertas Brokerage Consulting 2 Village Square Ste 252 Baltimore MD 21210	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
21.	Karen LaChance 106 Central Street Wellesley Hills MA 02481	\$ 2,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
22.	Nayyarsons CORP. 269 Hillside Avenue Williston Park NY 11596	\$ 7,800.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
23.	Consolidated Edison Company of NY Inc 511 Theodore Fremd Avenue Rye NY 10580	\$ 7,580.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
24.	John R. Kennedy 81 Kelly Road Carmel NY 10512	\$ 7,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution

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**Contributors** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
25	John W. Brauweiler 2229 Fish Avenue Bronx NY 10469	\$ 6,295.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
26	Aline Davidoff Sierra Amatepec 345-201 Colonia Lomas de Chapultepec Mexico	\$ 6,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
27	Jorge Suarez 115 East 92nd Street Apt 5D New York NY 10128	\$ 6,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
28	Teamsters Union Local 812 445 Northern Blvd Ste 30 Great Neck NY 11021	\$ 6,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
29	Jacob Judd 16 Rockledge Avenue 4B1 Ossining NY 10562	\$ 5,760.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
30	Francisco Caballero 251 East 51st Street Apt 7K New York NY 10022	\$ 5,600.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

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Name of Organization  
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**Contributors** (see instructions): Use duplicate copies of Part II if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
31.	Nancy S. Novick 130 Columbus Avenue Apt 12H New York NY 10025	\$ 5,030.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
32.	The Edith and Herbert Lehman Foundation Inc 151 East 79th Street New York NY 10075	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
33.	JFD Sales Consulting Services Corp 11-12 44th Drive Long Island City NY 11101	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
34.	Latinas Hat Society Inc 1034 Olmstead Avenue Bronx NY 10472	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
35.	Jane E. Levitt 230 West 105th Street Apt 4A New York NY 10025	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
36.	Jorge Mariscal 125 West 80th Street Apt 1 New York NY 10024	\$ 5,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

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**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
37.	Signal Hill Capital Group 140 Broadway Ste 2420 New York NY 10005	\$ 5,000.	Person <input checked="" type="checkbox"/> <input type="checkbox"/> Payroll <input type="checkbox"/> <input type="checkbox"/> Noncash <input type="checkbox"/> <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution



**SCHEDULE D  
(Form 990)**

**Supplemental Financial Statements**

OMB No. 1545-0047

**2013**

Department of the Treasury  
Internal Revenue Service

▶ Complete if the organization answered 'Yes,' to Form 990, Part IV, lines 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.  
▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Employer identification number

HERBERT H. LEHMAN COLLEGE FOUNDATION INC

13-3150922

**Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**  
Complete if the organization answered 'Yes' to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year . . . . .		
2 Aggregate contributions to (during year) . . . . .		
3 Aggregate grants from (during year) . . . . .		
4 Aggregate value at end of year . . . . .		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

**Conservation Easements.**  
Complete if the organization answered 'Yes' to Form 990, Part IV, line 7.

- Purpose(s) of conservation easements held by the organization (check all that apply):
 

<input type="checkbox"/>	Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/>	Preservation of an historically important land area
<input type="checkbox"/>	Protection of natural habitat	<input type="checkbox"/>	Preservation of a certified historic structure
<input type="checkbox"/>	Preservation of open space		
- Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
2a Total number of conservation easements . . . . .	
2b Total acreage restricted by conservation easements . . . . .	
2c Number of conservation easements on a certified historic structure included in (a) . . . . .	
2d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register . . . . .	

- Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶
- Number of states where property subject to conservation easement is located ▶
- Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? . . . . .  Yes  No
- Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year
- Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$
- Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? . . . . .  Yes  No
- In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered 'Yes' to Form 990, Part IV, line 8.

- If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII, the text of the footnote to its financial statements that describes these items.
  - If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
    - Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$
    - Assets included in Form 990, Part X . . . . . ▶ \$
  - If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
    - Revenues included in Form 990, Part VIII, line 1 . . . . . ▶ \$
    - Assets included in Form 990, Part X . . . . . ▶ \$

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No  
**Part IV Escrow and Custodial Arrangements. Complete if the organization answered 'Yes' to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.**

1a Is the organization an agent, trustee, custodian, or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No  
 b If 'Yes,' explain the arrangement in Part XIII and complete the following table:

	1c	1d	1e	1f	Amount
c Beginning balance					
d Additions during the year					
e Distributions during the year					
f Ending balance					

2a Did the organization include an amount on Form 990, Part X, line 21?  Yes  No  
 b If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII  Yes  No

**Part V Endowment Funds. Complete if the organization answered 'Yes' to Form 990, Part IV, line 10.**

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  %
  - b Permanent endowment  %
  - c Temporarily restricted endowment  %
- The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	3a(i)	3a(ii)	3b	Yes	No
(i) unrelated organizations					
(ii) related organizations					

b If 'Yes' to 3a(ii), are the related organizations listed as required on Schedule R?  Yes  No

4 Describe in Part XIII the intended uses of the organization's endowment funds.  
**Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.**

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		56,449.	54,939.	1,510.
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)  BAA  1,510.

**Part VI Investments – Other Securities.**

Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Close-held equity interests		
(3) Other		
(A) _____		
(B) _____		
(C) _____		
(D) _____		
(E) _____		
(F) _____		
(G) _____		
(H) _____		
(I) _____		
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 12.) ▶		
<b>Investments – Program Related.</b>		
Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.		
(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) _____		
(2) _____		
(3) _____		
(4) _____		
(5) _____		
(6) _____		
(7) _____		
(8) _____		
(9) _____		
(10) _____		
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 13.) ▶		
<b>Other Assets.</b>		
Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.		
(a) Description	(b) Book value	
(1) _____		
(2) _____		
(3) _____		
(4) _____		
(5) _____		
(6) _____		
(7) _____		
(8) _____		
(9) _____		
(10) _____		
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 15.) ▶		

<b>Other Liabilities.</b>	
Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25	
(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) Annuity payable	3,930.
(3) _____	
(4) _____	
(5) _____	
(6) _____	
(7) _____	
(8) _____	
(9) _____	
(10) _____	
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 25.) ▶	

<b>Other Liabilities.</b>	
Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25	
(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) Annuity payable	3,930.
(3) _____	
(4) _____	
(5) _____	
(6) _____	
(7) _____	
(8) _____	
(9) _____	
(10) _____	
(11) _____	
<b>Total.</b> (Column (b) must equal Form 990, Part X, column (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XIII** Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.  
 Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
	a Net unrealized gains on investments		
	b Donated services and use of facilities	2a	
	c Recoveries of prior year grants	2b	
	d Other (Describe in Part XIII.)	2c	
	e Add lines 2a through 2d	2d	
3	Subtract line 2e from line 1		2e
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		3
	a Investment expenses not included on Form 990, Part VIII, line 7b	4a	
	b Other (Describe in Part XIII.)	4b	
	c Add lines 4a and 4b		4c
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5

**Part XIV** Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.  
 Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
	a Donated services and use of facilities	2a	
	b Prior year adjustments	2b	
	c Other losses	2c	
	d Other (Describe in Part XIII.)	2d	
	e Add lines 2a through 2d		2e
3	Subtract line 2e from line 1		3
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
	a Investment expenses not included on Form 990, Part VIII, line 7b	4a	
	b Other (Describe in Part XIII.)	4b	
	c Add lines 4a and 4b		4c
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Part XII Line 2d Change in value of split-interest agreement

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Area with horizontal dashed lines for supplemental information.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

**Supplemental Information to Form 990 or 990-EZ**  
Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.  
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is  
at [www.irs.gov/form990](http://www.irs.gov/form990).

**2013**

Department of the Treasury  
Internal Revenue Service  
Name of the organization

HERBERT H. LEHMAN COLLEGE FOUNDATION INC

Employer identification number

13-3150922

Pt VI, Line 19 At the Foundation office upon request.

Pt VI, Line 11b Form 990 is reviewed by Executive Director before filing

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Schedule O (Form 990 or 990-EZ), Supplemental Information to Form 990 or 990-EZ  
Form 990, Page 10, Line 24e All Other Expenses (continued)

Description	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
Scholarships and Prizes	1,142,657.	1,142,657.	0.	0.
Special Projects	30,178.	0.	0.	30,178.
Supplies	5,866.	2,933.	2,933.	0.
Postage and Shipping	1,886.	198.	199.	1,489.
Investment Fees and Bank Charges	25,908.	0.	25,908.	0.
Subscriptions and Membership	1,360.	680.	680.	0.
Data Processing	13,847.	0.	13,847.	0.
Printing and Reproduction	1,842.	562.	562.	718.
Miscellaneous Exp	10,035.	0.	6,623.	3,412.